

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
APWR-P002US

In Re Application Of: **Trenchard, et al.**

Serial No.

Filing Date
October 28, 2003

Examiner

Group Art Unit

Title: **HIGH FLUX LED LIGHTING DEVICE**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50/0987 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

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***This certificate may only be used if paying by deposit account.**



Signature

Dated: October 28, 2003

Elizabeth R. Hall

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. APWR-P002US		SERIAL NO.	
				Trenchard, et al.			
				FILING 10/28/2003		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	US 2002/0114170 A1	08/22/2002	Chen et al.			
	B	US 2001/0030866 A1	10/18/2001	Hochstein			
	C	6,135,612	10/24/2000	Clore			
	D	6,048,083	04/11/2000	McDermott			
	E	6,013,985	01/11/2000	Green et al.			
	F	5,947,588	09/07/1999	Huang			
	G	5,931,570	08/03/1999	Yamuro			
	H	5,899,557	05/04/1999	McDermott			
	I	5,857,767	01/12/1999	Hochstein			
	J	5,833,355	11/10/1998	You et el.			
	K	5,821,695	10/13/1998	Vilanilam et al.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
		AA	A19 Style 3D PedLED Solid-State Light Bulb, Ledtronics, Inc., 6/24/2002
		BB	Dialight 860 Series LED Obstruction Light, Dialight Corporation, Farmingdale, NJ, date unknown.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. APWR-P002US	SERIAL NO.
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
L	5,769,532	06/23/1998	Sasaki			
M	5,664,866	09/09/1997	Reniger et al.			
N	5,608,290	03/04/1997	Hutchisson et al.			
O	5,585,783	12/17/1996	Hall			
P	5,224,773	07/06/1993	Arimura			
Q	5,140,220	08/18/1992	Hasegawa			
R	4,463,399	07/31/1984	Matherly et al.			
S	4,360,862	11/23/1982	Strasser et al.			
T	1,764,622	06/17/1930	G. Goodwin			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		CC	LED Obstruction Light Lasts Longer for Less Maintenance, Dialight Corporation, Farmingdale, N.J., 11/29/2001
		DD	Dialight Corporation Offers First LED-based Flashing Red Beacon, Dialight Corporation, Farmingdale, N.J., 06/25/2001.

EXAMINER	DATE CONSIDERED
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